

PTO-1390 (Rev. 07-2005)

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER NGB-104US (IT known, see 37 CFR 1.5) Debyld C RIORITY DATE CLAIMED

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PCT/JP2005/000178 11 January 2005 (11.01.2005) 26 January 2004 (26.01.2004) TITLE OF INVENTION DISK HOLDING APPARATUS AND DISK CHANGER APPARATUS (AS AMENDED) APPLICANT(S) FOR DO/EO/US Isamu NAKADE and Tsukasa NAKAYAMA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). ✓ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 13. 🗸 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Certificate of Express Mail and PTO 2038

20.

IAP11 Rec'd PCT/PTO 24 JUL 2006

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U.S. APPLICATION NO Jif Movin See STICFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER		
10/2	1076 5 8 s/grad 3 3 PCT/JP2005/000178			5/000178	NGB-104US	
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
21.					\$ 300	
22.					\$ 200	
23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400	
TOTAL OF 21, 22 and 23 =					\$ 900	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number)						
35 - 100 = /50 = x \$250					. \$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$	
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE	<u> </u>	
Total claims		20 =	0	x \$ 50	\$	T
Independent claims		3 =		x \$200	\$	
<u></u>	<u> </u>		0	+ \$360	\$	+
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 TOTAL OF ABOVE CALCULATIONS =					\$ 900	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					¥ 900	
SUBTOTAL =					s 900	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	<u> </u>
TOTAL NATIONAL FEE =					\$ 900	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$ 900	
					Amount to be refunded:	\$
					Amount to be charged:	\$ 900
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 18-0350. A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTØ-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filted and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO: Customer Number 52473						
SIGNATURE						
l —	UR . Box 980, Valley Fo	rge PA 10	9482	Lawrence E. A	Ashery	
RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899						
Date : July 24	, 2006			REGISTRATIO	ON NUMBER	/

CERTIFICATE OF MAILING BY "EXPRESS MAIL" Applicant: Isamu NAKADE, et al. **NGB-104US Application No. Filing Date Examiner Group Art Unit** To Be Assigned Herewith To Be Assigned To Be Assigned Invention: **DISK HOLDING APPARATUS AND DISK CHANGER APPARATUS (AS AMENDED)** I hereby certify that the following correspondence: PCT National Stage Application with PTO-1390 and its enclosures (Identify type of correspondence) Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450 on: July 24, 2006 **DENNIS McDERMOTT** (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV 766 498 700 US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.